

**CERTIFICATE OF MAILING**

I hereby certify that on **March 2, 2004**, this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.

37 C.F.R. § 1.8(a)

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Allie Barrett

22151 U.S. PTO  
10/792110

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**UTILITY PATENT APPLICATION TRANSMITTAL**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

Transmitted herewith for filing is the patent application of inventor(s):

1<sup>st</sup> Inventor: William Swanson

2<sup>nd</sup> Inventor: Richard Cornelius

3<sup>rd</sup> Inventor: Robert S. Schwartz

For: **ELECTRICAL CONDUCTION BLOCK IMPLANT DEVICE**

**1. Type of Application**

This new application is for a(n)

- ☒ Original (non provisional)
- ☐ Design
- ☐ Plant
- ☐ Continuation-in-part (CIP)

2. **Benefit of Prior U.S. Application(s)** (35 USC §§ 119(e), 120, or 121)

- ☒ The new application being transmitted claims the benefit of the following prior U.S. Provisional applications: (1) U.S. Provisional Patent Application No. 60/451,865 filed March 3, 2003 entitled *Implantable Device To Create Ostial Electrical Block In The Pulmonary Veins For Treatment Of Atrial Fibrillation*; and (2) U.S. Provisional Patent Application No. 60/451,864 filed March 3, 2003 entitled *Implantable Ring To Create Electrical Block In Pulmonary Vein Ostium For Treating Atrial Fibrillation*, both of the contents of which are hereby incorporated by reference.

3. **Papers Enclosed**

A.

|           |   |
|-----------|---|
| <u>33</u> | pages of specification  |
| <u>7</u>  | pages of claims   |
| <u>1</u>  | pages of abstract   |
| <u>46</u> | sheets of drawings (do not submit original drawings)<br>(complete the following, if applicable) |

- ☐ The enclosed drawing(s) are photograph(s), and there is also attached a "PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)." 37 CFR 1.84(b).

B. Other Papers Enclosed

|          |   |
|----------|---|
| <u>2</u> | Pages of Combined Declaration, Power of Attorney and Petition |
| <u>3</u> | Assignment  |
| <u>1</u> | Recordation Form Cover Sheet                                  |
| <u>2</u> | Power of Attorney by Assignee                                 |
| <u>1</u> | Credit Card Payment Form                                      |

4. **Declaration or oath (including power of attorney)**

- ☒ Enclosed  
☒ executed by inventor(s)

5. **Inventorship Statement**

Inventorship for all the claims in this application are:

- ☐ The same.

6. **Assignment**

- ☒ An assignment of the invention to Sinus Rhythm Technologies, Inc.  
☒ is attached  
☒ executed by inventor(s)  
☐ was filed in parent application  
☐ will follow

**7. Fee Calculation**

A. ☒ Regular application

| CLAIMS AS FILED  |              |                   |                 |                                |                             |
|--|--------------|-------------------|-----------------|--------------------------------|-----------------------------|
| Number filed   | Number Extra | Rate              |                 | Basic Fee<br>37 CFR § 1.16(a)/ |                             |
|  |              | Large<br>Entity   | Small<br>Entity | Large<br>Entity<br>\$770.00    | Small<br>Entity<br>\$385.00 |
| Total Claims<br>(37 CFR § 1.16(c)) = 48                            | - 20 = 28    | x<br>\$18.00<br>= | x<br>\$9.00 =   | 252.00                         |                             |
| Independent Claims<br>(37 CFR § 1.16(b)) = 7                       | - 3 = 4      | x<br>\$86.00<br>= | x<br>\$43.00 =  | 172.00                         |                             |
| Multiple dependent<br>claims(s), if any (37 CFR<br>§ 1.16(d)) = 11 |              | + \$290.00=       |                 | 00.00                          |                             |
| Recordation Filing Fee   |              |                   |                 | 40.00                          |                             |

- ☐ An amendment canceling extra claims is enclosed.  
☐ An amendment deleting multiple-dependencies is enclosed.  
☐ The fee for extra claims is not being paid at this time

Filing Fee Calculation \$849.00

- B. ☐ Design application (\$330-37 CFR§1.16(f)) \$ \_\_\_\_\_  
C. ☐ Plant application (\$540-37 CFR§1.16(g)) \$ \_\_\_\_\_

**8. Small Entity Statement(s)**

☒ Applicant claims small entity status. See 37 CFR 1.27

**9. Fee Payment Being Made at Time**

- ☐ Not Enclosed  
☐ No filing fee is to be paid at this time.  
☒ Enclosed  
☒ Filing Fee \$ 849.00

**10. Method of Payment of Fees**

- ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed.  
☒ Credit Card Payment Form is enclosed.  
☐ Charge Deposit Account No. 50-2809 in the amount of \$ \_\_\_\_\_  
A duplicate of this transmittal is attached.

11. ☐ Request and Certification under 35 USC §122 (b)(2)(B)(i) (Non-publication Request)

12. **Authorization to Charge Additional Fees**

*(If no fees are to be paid on filing, the following items should not be completed)*

- ☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 50-2809.
- ☒ 37 CFR§§1.16(a), (f), or (g) (Filing fees)
  - ☒ 37 CFR§§1.16(b), (c), and (d) (presentation of extra claims)
  - ☐ 37 CFR§§1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
  - ☐ 37 CFR§§1.17(a)(1)-(5) (extension fees pursuant to §1.136(a))
  - ☐ 37 CFR§§1.17 (application processing fees)
  - ☐ 37 CFR§§1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR§1.1311(b))

13. **Instructions as to Overpayment**

- ☒ Credit Account No. 50-2809  
☐ Refund

14. **Correspondence Address**

- ☒ Customer Number. 37,374  
☒ Please direct all future correspondence to the following attorney/address:

**INSKEEP INTELLECTUAL PROPERTY GROUP, INC.**

1225 W. 190<sup>th</sup> Street, Suite 205

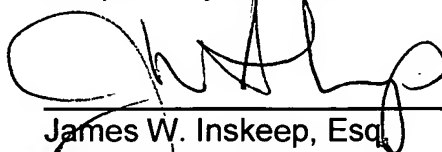
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Respectfully submitted,

  
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March 2, 2004

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